

# Luminosity measurements



Collider Physics Toni Baroncelli



# Luminosity @ LHC



Physics at Hadron Colliders



We have to distinguish between

Absolute measurements of Luminosity

Van der Meer scan (compute luminosity using beam parameters, a few times per year) Low angle measurements (measure cross section and normalise to luminosity)(difficult, not precise enough  $\rightarrow$  monitoring?)

 Monitoring of Luminosity Any detector sensitive to intensity of beams (tracking, calorimeters, continuous measurements during time)

The second indicator has to be normalised to the absolute value of the luminosity  $\rightarrow$  extrapolation



# Experiments MUST provide highly precise luminosity measurements:

• Instantaneous L -> online for machine monitoring: LHC performance and operation (luminosity levelling, beam monitoring...). Needed precision: 3-5% or better

 Integrated L -> offline for physics: precise cross section measurements, SM test, new physics (theory often limited by PDF uncertainty, aim to have lower luminosity uncertainty to better constrain PDFs'). Needed precision: below 2%, ideally 1%





### Luminosity Measurements: basic

 $ct_2 \rightarrow c \rightarrow r$ 

 $\sigma \rightarrow R(t) rate of events$  $R(t) = \mathcal{L}(t) \cdot \sigma$  $\mathcal{L}(t) = R(t) / \sigma$ 

$$N = \int_{t_1}^{t_2} R(t) dt$$
$$L = \int_{t_1}^{t_2} \mathcal{L}(t) dt$$

$$\sigma = R(t) / \mathcal{L}(t)$$
$$\sigma = N/L$$

$$\mathcal{L} = \frac{R}{\sigma} = \frac{\mu n_b f_r}{\sigma_{inel}} = \frac{\varepsilon \mu n_b f_r}{\varepsilon \sigma_{inel}} = \frac{\mu n_b f_r}{\sigma_{vis}}$$

- $\mu$  = number of inelastic pp collisions per bunch crossing
- $n_b$  = number of colliding bunch pairs
- $f_r$  = LHC revolution frequency (11245 Hz)
- $\sigma_{inel}$  = total inelastic pp cross-section (~80 mb ot 13 TeV)
- $\varepsilon$  = acceptance and efficiency of luminosity detector

 $\mu_{vis}$  = number of visible (= detected) collisions per bunch crossing

 $\sigma_{\rm vis}$  = visible cross-section = luminosity calibration constant



## The Devil is in the Details

- Absolute scale from beam-separation scans: vdM method, complemented by the luminous-region evolution (beam-beam imaging scans)
- Evaluation of linearity over four orders of magnitude in luminosity
- Stability throughout the year  $\rightarrow$  redundancy between luminometers
- All other source of systematics





### How to measure luminosity

- 1. Measure machine parameters  $\rightarrow$  Direct bunch shape and intensity measurements
  - Van der Meer scan (VdM)
  - Beam-Gas-Imaging (BGI)

ALICE, ATLAS, CMS, LHCb

LHCb

~real option for now

 $N = L \sigma \rightarrow L = N / \sigma$ 

2. Use processes with known cross section.

ATLAS with ALFA, CMS with TOTEM Near future

Forward scattering at very low angles based on optical theorem
 Cross-calibration of luminosity detectors

... and to monitor it with time

use of tracking detectors & calorimeters



Method

### Luminosity Determination at the LHC (history)





CMS with TOTEM

Methods as summarized in ATLAS TDR

[ATLAS Technical Design Report, Vol. I]

 $Red \rightarrow Monitors$ Light blue  $\rightarrow$  Measurements



# **Online luminosity detectors**

Device	Algorithms	Technology	BCID- aware	ACR desk
LUCID	Event (Hit) Counting	Cherenkov quartz windows + PMTs	Y	Calo+Fwd
BCM	Event Counting	Diamond semi- conductors	Y	Inner Detector
FCAL	Particle Flux	LAr under HV	Ν	Calo+Fwd
MBTS	Event Counting	Scintillators + PMTs	Y	Trigger/ Calo+Fwd
TILE	Particle Flux	Scintillating Tiles + PMTs	Ν	Calo+Fwd
EMEC	Particle Flux	LAr under HV	Ν	Calo+Fwd

Each subsystem can provide more luminosity algorithms and among those, one, defined as *preferred*, is chosen and used online.



### ATLAS Luminosity Detectors





### Luminosity (monitoring) via Forward Scattering

The more forward you go  $\rightarrow$  the more events you have  $\rightarrow$  lower stat error



TAS: Target Absorber Secondaries TAN: Target Absorber Neutrals



# CMS Luminosity Detectors





### Cross Section & Luminosity

Vocabulary: efficiency  $\epsilon$  is fraction of reconstructed objects measured by a detector; acceptance fraction of instrumented solid angle





### Cross Section & Luminosity



- na: density of particle beam
- va: velocity of beam particles

$$\dot{N} = \Phi_a \cdot N_b \cdot \sigma_b$$

- N: reaction rate
- $N_b$ : target particles within beam area
- σa: effective area of single scattering center

 $L = \Phi_a \cdot N_b$ 

L : luminosity

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Instantaneous Luminosity rate of events  $\ \dot{N}\equiv L\cdot\sigma$ 

$$N = \sigma \cdot \int L \, dt \qquad \sigma = N/L$$

integrated luminosity

Collider experiment:

$$\Phi_{a} = \frac{\dot{N}_{a}}{A} = \frac{N_{a} \cdot n \cdot v/U}{A} = \frac{N_{a} \cdot n \cdot f}{A}$$

$$L = f \frac{nN_{a}N_{b}}{A} = f \frac{nN_{a}N_{b}}{4\pi\sigma_{x}\sigma_{y}} \quad \sigma_{x}, \sigma_{y}: \text{ not well known}$$

$$LHC:$$

$$\overset{N_{x}}{\underset{A}{\sim} 0.005 \text{ mm}^{2}}_{n \quad 2800} \quad N_{a}: \text{ number of particles per bunch (beam A)}_{N_{b}: \text{ number of particles per bunch (beam B)}_{V:: \text{ circumference of ring}} \quad N_{a}: \text{ number of bunches per beam}_{v:: velocity of beam particles}_{f:: \text{ revolution frequency}} \quad A: beam cross-section \quad \sigma_{x}: \text{ standard deviation of beam profile in x}_{oy:: \text{ standard deviation of beam profile in y}}$$

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## Measuring beam populations $\rightarrow N_1 N_2$

#### DC Current Transformer



#### Fast Beam Current Transformer



 total current measurement with high accuracy
 two in each beam
 two in each beam

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### Bunch current measurements

- Currents are crucial input to VdM scan analysis
  - DC Beam Current Transformer (DCCT)
    - total circulating charges
  - Fast Beam Current Transformer (FBCT)
    - fraction of charge in each bunch
  - In 2010 uncertainty on bunch current product (10%) dominated luminosity uncertainty, due to major effort this uncertainty is well below 0.5% today [13]
- Corrections for ghost and satellite bunches
  - Fill dependent, but typically < 1%</li>
  - Measured with various methods
    - Synchrotron radiation by LDM (for satellite bunches) [6]
    - BGI in LHCb VELO with SMOG (for ghost charge) [7]





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### Van-der-Meer Separation Scan $\rightarrow \sigma_x$ , $\sigma_v$



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Assumption: factorization of beam density function:  $\mathcal{L}(\delta_x, \delta_y) = f_x(\delta_x) \cdot f_y(\delta_y)$ 



Figure 4: Same horizontal scan in IP5 shown in logarithmic scale with pure Gaussian fits.



### Systematic effects



#### Factorization

Signature of non-factorization effects: dependence of vertical convolved beam size and/or vertical luminous width on horizontal separation (and vice-versa).

- CMS in 2017: 0.8 ± 0.8 %
- ATLAS in 2017: 0.2 ± 0.2 %

Scan-to-scan reproducibility of vdM calibration:

§ CMS in 2017: ± 0.9 % § ATLAS in 2017: ± 1.2 %



### Extrapolating the VdM $\mathcal{L} \dashrightarrow LUCID$



Extrapolation depends on

- Number of bunches
- Number of superimposed interactions

1 step extrapolation: # bunches &  $\mu$ 

2 steps extrapolation:

# bunches first and then  $\mu$ 



### Calibration Transfer



Non-linearity correction from Tracking

ATLAS: typical correction @  $\mu = 50$  for LUCID hit counting in 2017: - 9%

Systematic uncertainty evaluated by comparing with calorimeter-based correction in 2017:  $\pm 1.3\%$ 

CMS: Non-linearity correction from emittance-scan analysis (i.e. "absolute") typical correction @  $\mu = 50$  for HFET in 2017: 1.5 %

Systematic uncertainty evaluated by comparing residual relative non-linearity of luminometers on 2017: ±1.5% Toni Baroncelli - INFN Roma TRE



### Some details on the bunch structure...2

- One LHC bunch is a sum of 'buckets' 1–1.5 ns long
- Ideally, all particles should be contained within the nominally filled bunches;
- Experience: correct to about 1–2% (for LHC p beams and about 5% for LHC Pb)

Luminosity needs the total bunch populations of the two rings:

- Nominal bunches (main)
- satellite bunches
- ghost charge.

The total beam population of beam j = 1 or 2 (measured with the DCCTs [3]) is assumed to be the sum of the following components

$$N_{tot}^{j=1,2} = N_{main}^{j=1,2} + N_{ghost}^{j=1,2} + N_{pilot}^{j=1,2}$$

where  $N_{main}$ , j is the charge of all slots nominally filled with a high intensity bunch (a 'main' bunch),  $N_{ghost}$ , j is the ghost charge and  $N_{pilots}$ , j the charge of all slots containing a 'pilot' bunch (not used in all fills, see below). In our definition, the term  $N_{main}$ , j is what is needed to determine the scale of the cross section, after correcting for the effects of satellite bunches.

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### Bunch structure of LHC



The particles of an LHC bunch are contained within a bucket 1–1.5 ns long. Ideally, all particles should be contained within the nominally filled RF bins. Experience has shown that this is typically correct to an accuracy of about 1–2%

In the phase of filling beams also *pilot* bunches are injected to check the orbit of a fill

$$N_{tot}^{j=1,2} = N_{main}^{j=1,2} + N_{ghost}^{j=1,2} + N_{pilot}^{j=1,2}, j = beam \#$$



### VdM scans in ATLAS

Table 2 Summary of the main characteristics of the 2010 and 2011 vdM scans performed at the ATLAS interaction point. Scan directions are indicated by "H" for horizontal and "V" for vertical. The values of luminosity/bunch and  $\mu$  are given for zero beam separation.

Scan Number	Ι	II–III	IV-V	VII–IX
LHC Fill Number	1059	1089	1386	1783
Date	26 Apr., 2010	9 May, 2010	1 Oct., 2010	15 May, 2011
Scan Directions	1 H scan followed by 1 V scan	2 H scans followed by 2 V scans	2 sets of H plus V scans	3 sets of H plus V scans (scan IX offset)
Total Scan Steps per Plane	27 $(\pm 6\sigma_{\rm b})$	27 $(\pm 6\sigma_{\rm b})$	$\frac{25}{(\pm 6\sigma_b)}$	$25 \\ (\pm 6\sigma_b)$
Scan Duration per Step	30 s	30 s	20 s	20 s
Bunches colliding in ATLAS & CMS	1	1	6	14
Total number of bunches per beam	2	2	19	38
Typical number of protons per bunch $(\times 10^{11})$	0.1	0.2	0.9	0.8
Nominal $\beta$ -function at IP [ $\beta^*$ ] (m)	2	2	3.5	1.5
Approx. transverse single beam size $\sigma_b (\mu m)$	45	45	57	40
Nominal half crossing angle (urad)	0	0	+100	+120
Typical luminosity/bunch ( $\mu b^{-1}/s$ )	$4.5 \cdot 10^{-3}$	$1.8 \cdot 10^{-2}$	0.22	0.38
$\mu$ (interactions/crossing)	0.03	0.11	1.3	2.3

### Low luminosity runs, clean measurement



### An alternative approach: BGI

- Beam-Gas imaging (pioneered by LHCb) [1]
  - Reconstruct interaction vertices of protons with residual gas
  - Infer beam shape near interaction point (IP) and extrapolate to IP
- Combination of Beam-Gas and Beam-Beam vertices
  - Simultaneous fit to individual beam and luminous region shapes yields beam overlap integral and then luminosity
  - Beams do not need to be moved (hence no beam-beam corrections, etc.)
  - Overall calibration uncertainty dominated by vertex resolution
  - Several important systematic uncertainties are independent from VdM scan analysis



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### Uncertainties - 1

 Only a selection of the most important systematic uncertainties is listed in the following

	Calibration uncertainties	VdM scan	BGI	
Key assumption: factorization of bunch proton density function $\mathscr{L}(\delta_{n}, \delta_{n}) = f_{n}(\delta_{n}) f_{n}(\delta_{n})$		Scan curve model	Bunch shape model	
		Factorizability	(accounts for factorizability)	
$\mathcal{L}(0_x, 0_y) = J_x(0_x) J_y(0_y)$	Beam-Beam effects	Vertexing resolution		
		Orbit drifts	Detector alignment & crossing angle	
		Reproducibility		
	Calibration transfer uncertainties from low $\mathcal{L}$ calibration to high $\mathcal{L}$ physics	μ-dependence		
		Radiation effects		
Monitoring uncertainty		Long-term stability		



## Snapshot of Luminosities uncertainties

#### Parts of table reproduced from [11]

	ALICE	ATLAS	CMS	LHCb
Running period	2013	2011	2012	2012
Sqrt(s) [TeV]	5.02	7	8	8
Running mode	Pb-p	р-р	р-р	р-р
Reference	[8]	[9]	[10]	In the process of being made publicly available
Absolute calibration method	VdM	VdM	VdM	VdM + BGI *
Δσ <sub>vis</sub> /σ <sub>vis</sub> [%]	2.8	1.53	2.3	1.12
μ-dependence [%]		0.50	<0.1	0.17
Long-term stability [%]		0.70	1.0	0.22
Subtraction of luminosity backgrounds [%]	1.0	0.20	0.5	0.13
Other luminosity-dependent effects [%]		0.25	0.5	-
Total luminosity uncertainty [%]	3.0	1.8	2.6	1.2

#### This snapshot represents a selection of the latest luminosity calibration results publicly available



### Optical theorem basics

- TOTEM for CMS and ALFA for ATLAS are able to perform absolute luminosity measurements
- Based on Optical theorem
  - Measurements of the total rate in combination with the t-dependence of the elastic cross section (TOTEM)
  - Measurements of elastic scattering rates in the Coulomb interference region(ALFA)

 $N = L \sigma \rightarrow L = N / \sigma$ 



- Requires dedicated LHC fills with special magnet settings
- Roman pots far from the interaction points (about 200 m)
- Measurements at very low interaction rates
  - Cross-calibration of dedicated luminosity detectors
  - Extrapolation of calibration to typical physics conditions introduces big uncertainties
- Valuable cross check but at LHC not competitive to VdM scans for integrated luminosity measurements



# ALFA – Absolute Luminosity for ATLAS



Shape of elastic scattering distribution can also be used to determine total cross section,  $\sigma_{tot}$ , and the parameters  $\rho$  and b ... Perform fit to:





## ALFA – Absolute Luminosity for ATLAS





### AFP & ALFA : geometry

#### Forward Detectors @ IP1

Intact protons  $\rightarrow$  natural diffractive signature  $\rightarrow$  usually scattered at very small angles (µrad)  $\rightarrow$  detectors must be located far form the Interaction Point.





## ALFA – Absolute Luminosity for ATLAS







Roman Pots, based on modified Totem design, used to move detectors near to stable beam.

Detectors in vertical plane only.

#### Calibration:

Beam positioning monitors (BPMs) and hit multiplicities used to calibrate detector positions with respect to beam

Overlap extrusions used to calibrate distance between upper and lower detectors

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### ALFA – Absolute Luminosity for ATLAS



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### ALFA detector







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# ALFA – Absolute Luminosity for ATLAS



Schematic view of tracker module ...

Sensitive area with U-V geometry (light blue) ... Overlap detectors and fibers (dark blue) ... LHC Beam pipe (red) ...



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### ALFA detector





### ALFA detector : signal events

#### Elastic event selection

- Elastic events are selected with tracks in all four stations in an arm.
- The tracks are also required to fulfill certain correlations between inner-outer stations and between A-side and C-side.





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### ALFA detector : background events

#### Background

- Sources of irreducible background is:
  - 1) two incident halo particle,
  - 2) a single diffractive proton and a halo particle,
  - double pomeron exchange with two protons in ALFA.
- A t-spectrum for background is determined from anti-golden events by flipping the coordinates of one of the tracks.
- $\bullet\,$  Background fraction is  $\sim$  0.5% and halo+halo is the dominant source.





### ALFA : acceptance & unfolding

#### Simulation: Acceptance & unfolding

- The measured t-spectrum is affected by detector resolution and acceptance and must be corrected for these effects.
- PYTHIA8 used as elastic scattering generator.
- Beam transport from IP to ALFA done using MadX.
- Simulated tracks are used to find a reconstructed t.
- Transition matrix used to unfold the raw t-spectrum.



#### Transition matrix



#### Acceptance



Toni E

Simon Stark Mortensen (NBI)

HESZ2015September 10, 2015 8 / 21

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### ALFA – Absolute Luminosity for ATLAS



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# Monitoring Luminosity

LHC

GLOBAL LHO

PARAMETER

Fill Num 932

ergy GeV 450

1 1

Scan Perr

vdM Overrid

REAM MOD

AUTOMATIC SCANS

Scan Statu

English, US [en US.utf8]

ATLAS LEVELING

on Colliders

Active

Beta\*/X-angle LEVELING

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State OFF

Beta\* 0 cm

# **Emittance Scans in LHC**

- Emittance Scan: a quick vdM scan performed during stable beams, separating the beams in x and y - Typical scan duration 5 min, usually at beginning of end of a fill

- The LHC uses it for on-the-fly diagnostics, ATLAS can use it to cross-check calibrations



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### CMS: VdM-like scans

Short vdM-like scans performed at the beginning and at the end of LHC fills in standard physics conditions:

Beams scanned in X and Y planes in 7/9 displacement steps of 10s/point;

• Lower level of precision than vdM scan due to: limited scanning range (insensitive to tails), possible non factorization biases (different bunch-production mode), beam dynamics effects (e.g. beam-beam effects)

useful for relative measurements





# ATLAS Luminosity monitors

LUCID

### What between VdM scans?

- Dedicated luminosity monitor (5.6 <  $|\eta|$  < 6.0)
- Cherenkov tubes
- Zero-counting and hit-counting algorithms
- Beam Condition Monitor (BCM) Beam dump!
  - Designed as beam protection system
  - Diamond-based sensor ( $|\eta| \sim 4.2$ )
  - Zero-counting algorithms
- Silicon detectors
  - Track counting in Pixel and SCT
- Calorimeter currents (bunch-integrating)
  - TileCal PMT currents
  - LAr HV currents: ECC, FCal







### Summary of Luminosity Monitors

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Physics at	
Energy	
High	
Experimental	
Baroncelli	
ILC.	

2023

Device	Algorithms	Technology	BCID-aware	ACR Desk
<u>BCM</u>	Event counting	Diamond semi-conductors	•	ID
LUCID	Event (Hit) counting Particle flux	Cerenkov quartz windows + PMTs	•	Calo
FCAL	Particle flux	LAr under HV		Calo
MBTS	Event counting	Scintillators + PMTs	•	Trigger
TILE	Particle flux	Scintillator tiles + PMTs		Calo
EMEC	Particle flux	LAr under HV		Calo
ТРХ	Cluster counting	Hybrid pixel		(TBD)
	Event counting			
DBM	Cluster counting	Diamond pixels	•	ID
	Track counting			



### ATLAS Luminosity Monitoring in 2017





## Early LHC Luminosity Measurement

\_uminosity / 10<sup>27</sup> [ cm<sup>-2</sup>s<sup>-1</sup> Example Fill Particle counting: Charged Tracks (MBTS) Calorimeter deposits (LAR) Same trend! 0.8 [Normalization via Monte Carlo] 0.6 ATLAS Preliminary MBTS Forward Particles (LUCID) LHC fill 1022;√s=7 TeV - LAr 0.4 - LUCID [Relative Method; normalization to MBTS/LAr] 0.2 = 75.6 ± 15.1 (stat 
 syst) μ b<sup>-1</sup> 60 Total Integrated Luminosity [pb<sup>-1</sup>] Luminosity / 10<sup>27</sup> [ cm<sup>-2</sup>s<sup>-1</sup>] ATLAS Online Luminosity  $\sqrt{s} = 7 \text{ TeV}$ **Example Fill** LHC Delivered 50 ATLAS Recorded 40 Total Delivered: 48.9 pb<sup>-1</sup> 0.8 Total Recorded: 45.0 pb<sup>-1</sup> 30 0.6 **ATLAS** Preliminary MBTS LHC fill 1022;√s=7 TeV UCID Norm. to MBTS 20 0.4 LAr Norm. to MBTS **Total integrated** 10 0.2 Luminosity 2010 dt = 75.6 ± 15.1 (stat ⊕ syst) μ b<sup>-1</sup> 22:00 08:00 00:00 02:00 04:00 06:00 10:00 24/03 21/04 19/05 16/06 14/07 11/08 08/09 06/10 03/11 Toni Day in 2010 UTC Time: April 4, 2010

ders







- Main role: Luminosity Measurement.
- Technology: Cherenkov emitting quartz windows connect to PMTs.
- **Configuration:** 16 PMTs on each side of ATLAS, 17m from the IP.
- Highlights: Fast and high redundancy (each PMT read out individually). Capable of event and hit counting as well as and particle flux measurements.
- Sampling/Time resolution: Every BCID.
- Major upgrades for Run II: new calibration, more redundant measurements, reduced acceptance.



#### Tile Calorimeter



- Technology: Scintillator tiles connected to PMTs.
- Highlights: Particle flux measurement, far from beamline.
- Sampling/Time resolution: bunch-integrated response every few seconds.

#### EMEC and FCal



- Technology: Liquid argon gaps between electrodes under HV.
- Highlights: Particle flux measurement, closer to beamline.
- Sampling/Time resolution: bunch-integrated response every few seconds.



### Comparison among different monitors





### Z counting



The invariant mass distribution of the muon pairs of the 240,000 Z -> $\mu\mu$  boson events selecting

• two muons with  $p_T > 27$  GeV,

- η < 2.4
- $66 < m_{\mu\mu} < 116$  GeV.

The statistical errors are smaller than the symbol size.

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Comparison between Z counting and  $L_{\mbox{\tiny Lucid}}$ 



# Backup slides

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### Luminosity Determination @ LHC (old slide)



Particle counting; using Cherenkov monitors [e.g. LUCID @ ATLAS] [needs to be calibrated for absolute luminosity]

Aim: Luminosity accuracy of 2-3% ...